

INTERNATIONAL SEARCH REPORT

International application No.
PCT/KR2004/001996

A. CLASSIFICATION OF SUBJECT MATTER**IPC7 C07D 403/06**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7 C07D 403/06

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
Korean patents and applications for inventions since 1975

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
STN (Caslink)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6015825 A (PFIZER INC.) 18 January 2000 See the whole document.	1 - 3
A	US 5495024 A (TAKEDA CHEMICAL INDUSTRIES LTD.) 27 February 1996 See the whole document.	1 - 3
A	US 2001/0012843 A1 (BRISTOL-MYERS SQUIBB COMPANY) 09 August 2001 See the whole document.	1 - 3
A	US 5478826 A (J. URIAH & CIA. S.A.) 26 December 1995 See the whole document.	1 - 3

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance
 "E" earlier application or patent but published on or after the international filing date
 "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)
 "O" document referring to an oral disclosure, use, exhibition or other means
 "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
 "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
 "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
 "&" document member of the same patent family

Date of the actual completion of the international search

06 OCTOBER 2004 (06.10.2004)

Date of mailing of the international search report

07 OCTOBER 2004 (07.10.2004)

Name and mailing address of the ISA/KR



Korean Intellectual Property Office
920 Dunsan-dong, Seo-gu, Daejeon 302-701,
Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

LEE, Mi Jeong

Telephone No. 82-42-481-5601



INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/KR2004/001996

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US6015825A	18.01.2000	ZA9605365A	29.12.1997
		W09701552A1	16.01.1997
		PL0324336A1	25.05.1998
		N00976047A0	22.12.1997
		JP10506652T2	30.06.1998
		GB9512961A0	30.08.1995
		EP0835252A1	15.04.1998
		DE69629300T2	04.03.2004
		AU0697405B2	08.10.1998
US5495024	27.02.1996	ZA9209146A	25.05.1994
		US5371100	06.12.1994
		NZ0245226A	26.10.1994
		JP6049033A2	22.02.1994
		HU9203661A0	01.03.1993
		FI0925323A	26.05.1993
		EP0548553A1	30.06.1993
		CN1075143A	11.08.1993
		CA2083679AA	26.05.1993
		AU2859292A1	31.03.1994
US2001/0012843A1	09.08.2001	W09961017A1	02.12.1999
		US20010039353A1	08.11.2001
		US6486159	26.11.2002
		US6359141	19.03.2002
		US6265584	24.07.2001
		US1012843	09.08.2001
		AU4009699A1	13.12.1999
US5478826	26.12.1995	US5760245	02.06.1998
		US5646294	08.07.1997
		JP7002788A2	06.01.1995
		ES2124332T3	01.02.1999
		EP061703A1	28.09.1994
		DK0617031T3	14.06.1999
		DE69413281C0	22.10.1998
		CA2129455AA	16.09.1994
		AT0171175E	15.10.1998

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY
(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)



REC'D 29 AUG 2005

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Applicant's or agent's file reference PCA40215-KIT	FOR FURTHER ACTION	See Form PCT/IPEA/416
International application No. PCT/KR2004/001996	International filing date(day/month/year) 09 AUGUST 2004 (09.08.2004)	Priority date (day/month/year) 12 AUGUST 2003 (12.08.2003)
International Patent Classification (IPC) or national classification and IPC IPC7 C07D 403/06, A61K 31/41, A61P 31/10		
Applicant KOREA RESEARCH INSTITUTE OF CHEMICAL TECHNOLOGY et al		

- This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.
- This REPORT consists of a total of 3 sheets, including this cover sheet.
- This report is also accompanied by ANNEXES, comprising:
 - ☐ (sent to the applicant and to the International Bureau) a total of _____ sheets, as follows:
 - ☐ sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).
 - ☐ sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.
 - ☐ (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) _____ containing a sequence listing and/or tables related thereto, in electronic form only, as indicated in the Supplemental Box relating to Sequence Listing (see Section 802 of the Administrative Instructions).
- This report contains indications relating to the following items:
 - ☒ Box No. I Basis of the report
 - ☐ Box No. II Priority
 - ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
 - ☐ Box No. IV Lack of unity of invention
 - ☒ Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
 - ☐ Box No. VI Certain documents cited
 - ☐ Box No. VII Certain defects in the international application
 - ☐ Box No. VIII Certain observations on the international application

Date of submission of the demand 13 JUNE 2005 (13.06.2005)	Date of completion of this report 08 AUGUST 2005 (08.08.2005)
Name and mailing address of the IPEA/KR  Korean Intellectual Property Office 920 Dunsan-dong, Seo-gu, Daejeon 302-701, Republic of Korea Facsimile No. 82-42-472-7140	Authorized officer LEE, Mi Jeong Telephone No. 82-42-481-5601 

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/KR2004/001996

Box No. 1 Basis of the report

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

- ☐ This report is based on translations from the original language into the following language _____ which is the language of a translation furnished for the purposes of:
- ☐ international search (under Rules 12.3 and 23.1(b))
 - ☐ publication of the international application (under Rule 12.4)
 - ☐ international preliminary examination (under Rules 55.2 and/or 55.3)

2. With regard to the elements of the international application, this report is based on *(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report)*:

- ☒ the international application as originally filed/furnished

- ☐ the description:
- pages _____ as originally filed/furnished
- pages* _____ received by this Authority on _____
- pages* _____ received by this Authority on _____

- ☐ the claims:
- pages _____ as originally filed/furnished
- pages* _____ as amended (together with any statement) under Article 19
- pages* _____ received by this Authority on _____
- pages* _____ received by this Authority on _____

- ☐ the drawings:
- pages _____ as originally filed/furnished
- pages* _____ received by this Authority on _____
- pages* _____ received by this Authority on _____

- ☐ the sequence listing and/or any related table(s) - see Supplemental Box Relating to Sequence Listing.

3. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages _____
- ☐ the claims, Nos. _____
- ☐ the drawings, sheets _____
- ☐ the sequence listing (*specify*): _____
- ☐ any table(s) related to sequence listing (*specify*): _____

4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

- ☐ the description, pages _____
- ☐ the claims, Nos. _____
- ☐ the drawings, sheets _____
- ☐ the sequence listing (*specify*): _____
- ☐ any table(s) related to sequence listing (*specify*): _____

* If item 4 applies, some or all of those sheets may be marked "superseded."

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/KR2004/001996

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Claims	1 - 3	YES
	Claims		NO
Inventive step (IS)	Claims	1 - 3	YES
	Claims		NO
Industrial applicability (IA)	Claims	1 - 3	YES
	Claims		NO

2. Citations and explanations (Rule 70.7)

The following documents are referred to in this report:

- D1: US 6015825 A (18 January 2000)
- D2: US 5495024 A (27 February 1996)
- D3: US 2001/0012843 A1 (9 August 2001)
- D4: US 5478826 A (26 December 1995)

1. Novelty and Inventive Step

The present invention relates to an azole derivative of formula (I) having a fluorovinyl moiety with antifungal activity against a wide spectrum of pathogenic fungi and advantageously low toxicity.

D1 discloses triazole derivatives which have antifungal activity and are useful in the treatment of fungal infections in animals, including humans.

D2 discloses an optically active azole compound which is useful as an antifungal agent.

D3 discloses water-soluble prodrugs of triazole antifungal compounds having a secondary or tertiary hydroxy group.

D4 discloses orally active azole derivatives with antifungal activity.

None of D1-D4 discloses an azole derivative of formula (I) in the present invention.

The antifungal azole derivatives in D1-D4 differ from the compounds of formula (I) in the present invention in that the compounds of formula (I) have different heteroaryl and aryl moieties including A on the right side of the backbone structure.

Those who skilled in the art would not be able to expect the changes in the backbone structure of the antifungal azole derivatives of formula (I) in the present invention from D1-D4.

Therefore, claims 1-3 of the present invention are considered to be novel and involve an inventive step over D1-D4 [Article 33(2) and 33(3) PCT].

2. Industrial Applicability

The subject-matter of claims 1-3 appears to be industrially applicable [Article 33(4) PCT].